

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Philippe CLAIR, et al.

Application No./Patent No.: 09/857,000

Filed/Issue Date: September 7, 2001

Entitled: PEPTIDES CARRYING SUBSTANCES ACROSS THE BLOOD BRAIN BARRIER

SYNT-EM, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of an assignment from the inventor(s) of the patent application/patent identified above. A copy of the assignment is attached. The assignment was previously recorded or is being recorded concurrently herewith.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

01-30-2008

Date

Marina I. Miller, Ph.D.

Printed or Typed Name

703 413-3000

Telephone Number

50,091

Registration Number

Express Mail Label No.: EL 831...8332 US
Date of Deposit: September 7, 2001

Attorney Docket No. 19904-012 NATL.

ASSIGNMENT

We, Philippe CLAIR, Michel KACZOREK and Jamal TEMSAMANI, the undersigned, for good and valuable consideration, the receipt of which is hereby acknowledged, hereby assign, sell and transfer to SYNTHEM, Parc Scientifique Georges Besse, F-30000 Nîmes, FRANCE, a French corporation, and its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE: (1) our entire right, title and interest for the United States and in all countries, in and to any and all inventions, discoveries and applications which are disclosed in the application for United States Letters Patent entitled:

PEPTIDES CARRYING SUBSTANCES ACROSS THE BLOOD BRAIN BARRIER

filed with the French Patent Office on **November 26, 1999** as a PCT application designating the United States and assigned **PCT/FR99/02938**, and a United States national phase application filed on **May 30, 2001** and assigned Serial No. **09/857,000**, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the ASSIGNEE, or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any country, and any renewals, revivals, reissues, reexaminations and extensions thereof, and any patents of confirmation, registration and importation of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.


We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

We agree, at any time, upon the request of the ASSIGNEE, to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries, or applications and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

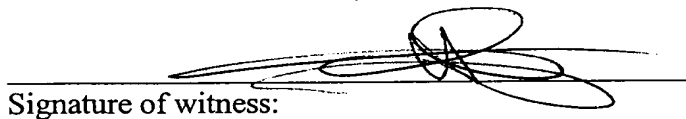
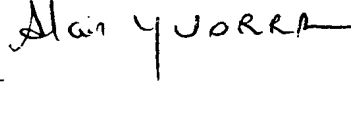
We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

We hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

We further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

Date: Monday, August the 27th 2001  Signature of: Philippe CLAIR

IN WITNESS WHEREOF, We have hereunto set hand and seal

 
Signature of witness:

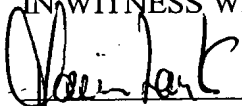
this 27 day of August 2001.
Date of signing

Date: August 27, 01



Signature of: Michel KACZOREK

IN WITNESS WHEREOF, We have hereunto set hand and seal

 Marie LaTours

Signature of witness:

this 27 day of August 2001

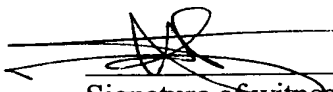
Date of signing

Date: August 27th, 2001



Signature of: Jamal TEMSAMANI

IN WITNESS WHEREOF, We have hereunto set hand and seal

 Alan Yvorian

Signature of witness:

this 27 day of August 2001

Date of signing